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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/629,797	07/30/2003	David Chao Hua Wu	1875.4730000	9430

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STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.  
1100 NEW YORK AVENUE, N.W.  
WASHINGTON, DC 20005

EXAMINER
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DO, CHAT C

ART UNIT	PAPER NUMBER
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2193

MAIL DATE	DELIVERY MODE
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12/09/2008

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

## Office Action Summary

Application No.

10/629,797

Applicant(s)

WU, DAVID CHAO HUA

Examiner

Chat C. Do

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**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period **will** apply and **will** expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply **will**, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 17 October 2008.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 21-25 and 32-34 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 21-25 and 32-34 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date: \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_.

### **DETAILED ACTION**

1. This communication is responsive to Amendment filed 10/17/2008.
2. Claims 21-25 and 32-34 are pending in this application. Claim 21 the independent claim. In Amendment, claims 1-20 and 26-31 are cancelled and claims 32-34 are added. This Office Action is made final.

### ***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 21-25 and 32-34 are rejected under 35 U.S.C. 103(a) as being unpatentable over Collier et al. (U.S. 5,404,405) in view of Matsui (U.S. 4,716,589) in further view of Simanapalli et al. (U.S. 6,002,726).

Re claim 21, Collier et al. disclose in Figure 1 a system for producing a pulse code modulation (PCM) signal (e.g. abstract, Figure 1 and col. 1 lines 18-41), comprising: a first filter configured to produce an input signal  $I(n)$  from a signal (e.g. by component 8 in Figure 1 and col. 2 lines 63-68); a second filter that generates a quadrature-phase signal  $Q(n)$  from the input signal  $I(n)$  (e.g. the Hilbert filter 18 in Figure 1), a FM demodulator (e.g. all the components 18, 20, 22, 24, 26, 28, 30 and 34 in Figure 1) configured to generate a FM demodulated signal substantially equal to  $Z(n)/X(n)$ ,

wherein  $Z(n)$  and  $X(n)$  are functions of  $I(n)$  and  $Q(n)$  (e.g. by output of components 30 and 34 in Figure 1), the FM demodulator including a denominator device that estimates a value  $1/X(n)$  (e.g. for computing the  $I^2+Q^2$  in component 30 in Figure 1); and a third filter configured to produce the PCM signal from the  $FM(n)$  signal substantially equal to  $Z(n)/X(n)$  (e.g. output of the filters 40 and 42 in Figure 1).

Collier et al. fail to disclose two limitations (1) the denominator device estimates a value  $1/X(n)$  based at least in part on a prior estimated value of  $1/X(n)$  and (2) the signal is the secondary audio program (SAP). However, SAP is known in the art as clearly addressed in Matsui's reference. Matsui's reference discloses the SAP throughout the specification (e.g. col. 1 lines 14-51) with similar modulation/demodulation scheme. In addition, Simanapalli et al. clearly disclose the denominator device estimates a value  $1/X(n)$  based at least in part on a prior estimated value of  $1/X(n)$  (e.g. Figure 3, particular component 78).

Therefore, it would have been obvious to a person having ordinary skill in the art at the time the invention is made to replace/add the signal with the SAP as clearly seen in Matsui's invention and the denominator device estimates a value  $1/X(n)$  based at least in part on a prior estimated value of  $1/X(n)$  as seen in Simanapalli et al.'s invention into Collier et al.'s invention because it would enable to reproduce the information from the broadcasting signal efficiently (e.g. whole column 1 and col. 3 lines 51-68 in Matsui's reference and col. 2 lines 32-39 in Simanapalli et al.'s reference).

Re claim 22, Collier et al. further disclose in Figure 1  $Z(n)$  is substantially equal to  $[IQ' - I'Q]$  and  $X(n)$  is substantially equal to  $[I^2 + Q^2]$  (e.g. by component 30 in Figure 1 wherein  $I$  and  $Q$  are periodic signals).

Re claim 23, Collier et al. in view of Matsui further to disclose in Figure 1 the SAP signal is a constant magnitude signal, a sine wave, or a cosine wave (e.g. inherently for FM modulation scheme).

Re claim 24, Collier et al. further disclose in Figure 1 the first filter is a band pass filter (e.g. filter 8 in Figure 1 and col. 2 lines 63-68 for selective band).

Re claim 25, Collier et al. further disclose in Figure 1 the second filter is a Hilbert filter (e.g. by the filter 18 in Figure 1 for phase shifting the original signal  $I(n)$ ).

Re claims 32-34, Collier et al. in view of Matsui fail to disclose the denominator devices estimates the value  $1/X(n)$  based at least in part on the prior estimated value of  $1/X(n)$  plus an error value wherein the error value is substantially equal to  $[1 - X(n)/X(n-1)]$  and the error value is scaled before being added to the prior estimated value of  $1/X(n)$ . However, Simanapalli et al. disclose in Figures 2-3 the denominator devices estimates the value  $1/X(n)$  based at least in part on the prior estimated value of  $1/X(n)$  plus an error value wherein the error value is substantially equal to  $[1 - X(n)/X(n-1)]$  and the error value is scaled before being added to the prior estimated value of  $1/X(n)$  (e.g. Figure 3, particularly component 78).

Therefore, it would have been obvious to a person having ordinary skill in the art at the time the invention is made to add the denominator devices estimates the value  $1/X(n)$  based at least in part on the prior estimated value of  $1/X(n)$  plus an error value

wherein the error value is substantially equal to  $[1-X(n)/X(n-1)]$  and the error value is scaled before being added to the prior estimated value of  $1/X(n)$  as seen in Simanapalli et al.'s invention into Collier et al. in view of Matsui's invention because it would enable to enhance the system performance (e.g. col. 2 lines 32-39).

### ***Double Patenting***

5. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the “right to exclude” granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

6. Claims 21-25 and 32-34 are rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1, 3 and 9 of U.S. Patent No. 7,006,806 in view of Simanapalli et al..

Claims 1, 3 and 9 of Patent No. 7,006,806 contain similar elements of claims 21-25 of the instant application and thus anticipated the claims of the instant application. these claims do not contain the limitations of the denominator devices estimates the value

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$1/X(n)$  based at least in part on the prior estimated value of  $1/X(n)$  plus an error value wherein the error value is substantially equal to  $[1-X(n)/X(n-1)]$  and the error value is scaled before being added to the prior estimated value of  $1/X(n)$ . However, Simanapalli et al. disclose in Figures 2-3 the denominator devices estimates the value  $1/X(n)$  based at least in part on the prior estimated value of  $1/X(n)$  plus an error value wherein the error value is substantially equal to  $[1-X(n)/X(n-1)]$  and the error value is scaled before being added to the prior estimated value of  $1/X(n)$  (e.g. Figure 3, particularly component 78).

Therefore, it would have been obvious to a person having ordinary skill in the art at the time the invention is made to add the denominator devices estimates the value  $1/X(n)$  based at least in part on the prior estimated value of  $1/X(n)$  plus an error value wherein the error value is substantially equal to  $[1-X(n)/X(n-1)]$  and the error value is scaled before being added to the prior estimated value of  $1/X(n)$  as seen in Simanapalli et al.'s invention into the Patent 7,006,806 because it would enable to enhance the system performance (e.g. col. 2 lines 32-39).

"A later patent claim is not patentably distinct from an earlier patent claim if the later claim is obvious over, or **anticipated by**, the earlier claim. In re Longi, 759 F.2d at 896, 225 USPQ at 651 (affirming a holding of obviousness type double patenting because the claims at issue were obvious over claims in four prior art patents); In re Berg, 140 F.3d at 1437, 46 USPQ2d at 1233 (Fed. Cir. 1998) (affirming a holding of obviousness-type double patenting where a patent application claim to a genus is anticipated by a patent claim to a species within that genus). " ELI LILLY AND COMPANY v BARB LABORATORIES, INC., United States Court of Appeals for the Federal Circuit, ON PETITION FOR REHEARING EN BANC (DECIDED: May 30, 2001).

"Claim 12 and Claim 13 are generic to the species of invention covered by claim 3 of the patent. Thus, the generic invention is **"anticipated"** by the species of the patented invention. Cf., Titanium Metals Corp. v. Banner, 778 F.2d 775, 227 USPQ 773 (Fed. Cir. 1985) (holding that an earlier species disclosure in the prior art defeats any generic claim) 4. This court's predecessor has held that, without a terminal disclaimer, the species claims preclude issuance of the generic application. In re Van Ornum, 686 F.2d 937, 944, 214 USPQ 761, 767 (CCPA 1982); Schneller, 397 F.2d at 354. Accordingly, absent a terminal disclaimer, claims 12 and 13 were properly rejected under the doctrine of obviousness type double patenting." (In re Goodman (CA FC) 29 USPQ2d 2010 (12/3/1993)).

***Response to Arguments***

7. Applicant's arguments with respect to claims 21-25 and 32-34 have been considered but are moot in view of the new ground(s) of rejection.

***Conclusion***

8. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to CHAT C. DO whose telephone number is (571)272-3721. The examiner can normally be reached on Tue-Fri 9:00AM to 7:30PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Lewis Bullock can be reached on (571) 272-3759. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.



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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Chat C. Do/

Primary Examiner, Art Unit 2193

December 5, 2008